

1 HON. RICHARD A. JONES
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UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
SEATTLE DIVISION

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11 SECURITIES AND EXCHANGE COMMISSION, No. 2:21-cv-1108-RAJ

12 Plaintiff,

13 v.

14 SUNG MO JUN, *ET AL.*,

15 Defendants. **JUDGMENT AS TO
DEFENDANT JOON MO JUN**

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18 The Securities and Exchange Commission having filed a Complaint and Defendant Joon
19 Mo Jun (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction
20 over Defendant and the subject matter of this action; consented to entry of this Judgment; waived
21 findings of fact and conclusions of law; and waived any right to appeal from this Judgment:

22 I.
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24 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is
25 permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the
26 Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5
27 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of

1 interstate commerce, or of the mails, or of any facility of any national securities exchange, in
 2 connection with the purchase or sale of any security:

- 3 (a) to employ any device, scheme, or artifice to defraud;
- 4 (b) to make any untrue statement of a material fact or to omit to state a material fact
 necessary in order to make the statements made, in the light of the circumstances
 under which they were made, not misleading; or
- 5 (c) to engage in any act, practice, or course of business which operates or would
 operate as a fraud or deceit upon any person.

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 7 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in
 8 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who
 9 receive actual notice of this Judgment by personal service or otherwise: (a) Defendant's officers,
 10 agents, servants, employees, and attorneys; and (b) other persons in active concert or
 11 participation with Defendant or with anyone described in (a).

12 II.

13 Upon motion of the Commission, the Court shall determine whether it is appropriate to
 14 order a civil penalty pursuant to Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)]
 15 and, if so, the amount of the civil penalty. In connection with the Commission's motion for civil
 16 penalties, and at any hearing held on such a motion: (a) Defendant will be precluded from
 17 arguing that he did not violate the federal securities laws as alleged in the Complaint; (b)
 18 Defendant may not challenge the validity of the Consent or this Judgment; (c) solely for the
 19 purposes of such motion, the allegations of the Complaint shall be accepted as and deemed true
 20 by the Court; and (d) the Court may determine the issues raised in the motion on the basis of
 21 affidavits, declarations, excerpts of sworn deposition or investigative testimony, and
 22 documentary evidence, without regard to the standards for summary judgment contained in Rule
 23 56(c) of the Federal Rules of Civil Procedure. In connection with the Commission's motion for
 24 civil penalties, the parties may take discovery, including discovery from appropriate non-parties.

1 III.
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IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is
incorporated herein with the same force and effect as if fully set forth herein, and that Defendant
shall comply with all of the undertakings and agreements set forth therein.

6 IV.
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IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of
exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the
allegations in the complaint are true and admitted by Defendant, and further, any debt for civil
penalty or other amounts due by Defendant under this Judgment or any other judgment, order,
consent order, decree or settlement agreement entered in connection with this proceeding, is a
debt for the violation by Defendant of the federal securities laws or any regulation or order
issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C.
§523(a)(19).

16 V.
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IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

VI.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil
Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

DATED this 2nd day of September, 2021.



The Honorable Richard A. Jones
United States District Judge